

Chapter 16: Greedy Algorithms

Activity Selection Problem

16.1-1) Give a dynamic-programming algorithm for the activity-selection problem, based on recurrence (16.2). Have your algorithm compute the sizes $c[i,j]$ as defined above and also produce the maximum-size subset of mutually compatible activities. Assume that the inputs have been sorted as in equation (16.1). Compare the running time of your solution to the running time of GREEDY-ACTIVITY-SELECTOR.

Solution: Let where S_{ij} be the interval between activity a_i and a_j exclusive. The following algorithm builds the table for the activity selection problem where s and f are arrays of length n containing the start and finish times, respectively, for the n different activities.

```
BUILD-ACTIVITY-TABLE(s,f)
    n = s.length
    initialize c, an nxn array

    for j=0 to rows-1
        for i=j to 0
            //base case
            c[j][j] = -1
            c[i][j] = 0

            //general case
            if (j-1)>1

                //for every a_k in S_ij (Compute c[i][j] and store activity number k)
                for k=i+1 to j-1
                    if f[i] <= s[k] AND f[k]<= s[j]                //if activity fits in interval
                        if c[i][j]<(c[i][k]+c[k][j] + 1)            //update max num activities
                            c[i][j] = c[i][k] + c[k][j] + 1
                            c[j][i] = k                            //store activity number

    return c
```

The return value, c , is an $n \times n$ array. BUILD-ACTIVITY-TABLE(s,f) fills in the values of c above the diagonal and saves the k values below the diagonal. It takes $O(n^2)$ to fill in the values above the diagonal but for each $c[i][j]$, we must compute for each a_k in S_{ij} . This is shown in the inner loop. Just like in the MATRIX-CHAIN-MULTIPLY, the total running time is $O(n^2)$.

The optimal solution is then built from the table using the following algorithm:

```
OPTIMAL-ACTIVITY-SELECTION(c,s,f, i,j)
    return {a_i} U SUBOPTIMAL-ACTIVITY-SELECTION(s,f,i,j) U {a_j}
```

where $[i, j]$ is the index of the cell above the diagonal of c containing the largest value, number of selected scheduled activities. (This can be returned by BUILD-ACTIVITY-TABLE(s, f) as well but has been omitted for simplicity)

```
SUBOPTIMAL-ACTIVITY-SELECTION( $c, s, f, i, j$ )
  if  $i < j$  AND  $j < a.length$  //if time interval is positive
     $k = c[i][j]$ 
    if  $i < k$  AND  $k < j$  //kth activity was added
      return SUBOPTIMAL-ACTIVITY-SELECTION( $c, s, f, i, k$ ) U  $\{a_k\}$  U
        SUBOPTIMAL-ACTIVITY-SELECTION( $c, s, f, k, j$ )
```

Note that s , and f together form the list of activities where activity a_i has starting time s_i and final time f_i . The dynamic-programming algorithm runs in $O(n^2)$ while the greedy algorithm runs in $O(n)$. Therefore, the GREEDY-ACTIVITY-SELECTOR is a much better solution to the activity-selection problem.

16.1-2 Suppose that instead of always selecting the first activity to finish, we instead select the last activity to start that is compatible with all previously selected activities. Describe how this approach is a greedy algorithm, and prove that it yields an optimal solution.

Solution:

Let n be the total number of activities, $a_1, a_2, \dots, a_n$.

```
GREEDY-ACTIVITY-SELECTOR-JMC( $s, f$ )
   $n = s.length$ 
   $A = \{a_n\}$ 

  for  $m = n-1$  to 1
    if  $f[m] \leq s[k]$  //greedy step
       $A = \{a_m\} \cup A$ 
       $k = m$ 

  return  $A$ 
```

where n is the number of activities,
 s is an n array and $s[k]$ contains the starting time of a_k ,
 Assume s is monotonically increasing sorted array,
 f is an n array and $f[k]$ contains the finish time of a_k ,

This algorithm iterates through the activities starting from the activity with the latest starting time. If the current activity has not finished before the last activity has started, then that activity is skipped and not added to optimal solution. However, if the candidate activity, a_k , does finish before the last one starts, then that activity is added to the solution. This is the greedy step and we know that the first activity with the latest starting time is going to be chosen before all the other ones because the array of activities is sorted in increasing order.

In order for this approach to yield an optimal solution, it is sufficient to prove that any activity with the latest starting time belongs to a maximum-size subset of mutually compatible activities of S_k .

Claim: Consider any nonempty subproblem S_k and let a_m be an activity in S_k with the last starting time. Then a_m is included in some maximum-size subset of

mutually compatible activities of S_k .

Proof: Let a_i be an activity with starting time s_i and final time f_i . Let $\{a_1, a_2, \dots, a_n\}$ be a set of activities monotonically increasing based on their starting time. That is, $s_1 \leq s_2 \leq s_3 \leq \dots \leq s_n$. Let A_k be a maximum-size subset of mutually compatible activities S_k , and let a_j be the activity in A_k with the latest starting time.

Case 1: $a_j = a_m$

Then, since $a_j \in A_k$, a_j is in some maximum-size subset of mutually compatible activities of S_k $\square$

Case 2: $a_j \neq a_m$

Then set $A'_k = A_k - \{a_m\} + \{a_j\}$. Since A_k is some maximum-size subset of mutually compatible activities in S_k , then $f_1 \leq f_2 \leq f_3 \leq \dots \leq s_m$. Since a_j and a_m are both activities with the latest starting time in S_k , $s_m = s_j$. Then we have that $f_1 \leq f_2 \leq f_3, \dots \leq s_m = s_j$ and $|A'_k| = |A_k|$. Necessarily, A'_k must be a maximum-size subset of mutually compatible activities. Since $a_j \in A'_k$, a_j is in some maximum size subset of mutually compatible activities of S_k $\square$

16.1-3 Suppose that we have a set of activities to schedule among a large number of lecture halls, where any activity can take place in any lecture hall. We wish to schedule all the activities using as few lecture halls as possible. Give an efficient greedy algorithm to determine which activity should use which lecture hall.

Solution: This problem can be solved like the activity-selection problem with one single lecture hall with the exception that when an activity cannot be scheduled in the first lecture hall, it should be scheduled in the second. If it cannot be scheduled in lecture 2, schedule in lecture 3. If it cannot be scheduled in lecture 3, schedule in lecture 4, so on and so forth until it is scheduled in some lecture hall. For all activities, if an activity cannot be scheduled in the first lecture, it must be attempted to be scheduled in the order lecture 2, lecture 3,

16-2.1 Prove that the fractional knapsack problem has the greedy-choice property.

Solution

Proof:

Let n be the number of items, each item $i \in \{1, 2, \dots, n\}$, with value v_i and weight w_i . Let W be the total weight the knapsack can hold. The task is to fill the knapsack with as many items as possible while maximizing the total knapsack value. An item does not need to be completely added. That is, a fraction of a particular item may be added to the knapsack if it maximizes the total value. To do this, first compute the value per pound v_i/w_i . Sort the items in ascending order based on the value per pound. Let item j be the item with the largest value per pound.

Case 1: If $W = w_j$, then item j fills up the knapsack perfectly and we are done.

Case 2: If $W < w_j$, then the whole item does not fit into the knapsack, in which case, only add as much of item j as can fit into the knapsack and we are done.

Case 3: Otherwise, $W > w_j$. Add item w_j to the knapsack. The knapsack can now take up to $W - w_j$ additional pounds. Item j was the item with the most value per pound, so we necessarily choose this item in a greedy manner to maximize the knapsack value. This

leaves the following subproblem: fractional knapsack with maximum weight of $W - w_j$ and $n - 1$ objects to choose from. Note that item j was chosen without considering results from subproblems. Therefore the fractional knapsack problem has the greedy-choice property. $\square$

16.2-2 Give a dynamic-programming solution to the 0-1 knapsack problem that runs in $O(nW)$ time, where n is the number of items and W is the maximum weight of items that the thief can put in his knapsack.

Solution:

```
KNAPSACK(w,v, W, n)
  \\\initialization
  for i=1 to n //knapsack value is 0 if knapsack weight is 0
    B[i,0]=0

  for j=0 to W //knapsack value is 0 if there are 0 items to choose from
    B[0,j] = 0

  for i=1 to n
    for j=0 to W
      if w[i]<= j // item i can be part of the solution
        if v[i] + B[i-1, j-w[i]] > B[i-1, w] // (1)
          B[i,j]=v[i] + B[i-1, j-w[i]]
        else // (2)
          B[i,j]=B[i-1,w]
      else //item does not fit
        B[i,j]=B[i-1,w]
```

w and v are arraya of length n

W is the maximum weight that the knapsack can hold B is an $(w+1) \times (n+1)$ array where $B[i][j]$ is the maximum value for a knapsack of weight j with the first i items to choose from.

(1) for current weight j , look at the the knapsack of max weight $j-1$. For current item i , if the item is added to the knapsack, we must look at the knapsack with $i-1$ items and weight $j-w[i]$. The value of the knapsack would be the value of the new item plus the max value for knapsack with $i-1$ items and $j-w[i]$ weight, $v[i] + B[i-1, j-w[i]]$. If adding this new item yeilds a larger knapsack total value, then “add” the item by updating the maximum value ($B[i,j] = v[i] + B[i-1, j-w[i]]$)

(2) if adding this new item i does not yield a larger knapsack total value, then don’t add the item. So, the total value of the knapsack is still the value as if you only had $i-1$ items to choose from. Thus, $B[i,j]=B[i-1, j]$

We can obtain the list of items from array B using the following algorithm:

```
KNAPSACK-ITEMS(v,w,B)
  i=n
  k=W

  while i>0 AND k>0
    if B[i,k] != B[i-1,k] // (3)
      add i to the items in the knapsack
      k=k-w[i]
```

i--

look at the last entry in B with item i, and weight W . If the knapsack value changed from i-1 to i items, then item i was added and we now look at the suboptimal knapsack of weight $k-w[i]$. Otherwise, item i was not added to the knapsack and we simply look at the optimal knapsack with the same weight but i-1 items.

In the KNAPSACK algorithm, there are two for loops. The inner loop iterates for each item while the inner loop iterates for each weight. Since there are n items and the maximum knapsack weight is W , the algorithms runs $O(nW)$. $\square$

A task-scheduling problem as a matroid

16.5-1 Solve the instance of the scheduling problem given in Figure 16.7, but with each penalty w_i replaced by $80 - w_i$.

Solution:

List of activities where a_i is activity i with deadline d_i and penalty weight w_i :

a_i	1	2	3	4	5	6	7
d_i	4	2	4	3	1	4	6
w_i	10	20	30	40	50	60	70

Execution where a_i^j is activity i with deadline $d_i = j$:

t_i	0	1	2	3	4	5	6
a_1^4							
a_2^2		a_1^4					
a_2^2		a_1^4	a_3^4				
a_2^2		a_4^3	a_1^4	a_3^4			
a_5^1		a_4^3	a_1^4	a_3^4	a_2^2		
a_5^1		a_4^3	a_6^4	a_3^4	a_2^2	a_1^4	
a_5^1		a_4^3	a_6^4	a_3^4	a_7^6	a_2^2	a_1^4

Note that at $t=4$, a_5 took the place of a_2 because a_5 has a higher penalty value than all the current activities, so a_5 replaces a_2 , the activity with the smallest penalty value ($w_i = 20$). Likewise, at $t=5$ a_6 replaces a_1 , the activity with the smallest penalty value ($w_i = 10$) with early arrival. Early activities are in monotonically increasing order.

The final optimal schedule is: $\{a_5, a_4, a_6, a_3, a_7, a_2, a_1\}$
which has a total penalty incurred of $w_2 + w_1 = 30$

16.2 Suppose you are given a set $S = \{a_1, a_2, \dots, a_n\}$ of tasks, where task a_i requires p_i units of processing time to complete, once it has started. You have one computer on which to run these tasks, and the computer can run only one task at a time. Let c_i be the completion time of task a_i , that is, the time at which task a_i completes processing. Your goal is to minimize the average completion time, that is, to minimize $\frac{1}{n} \sum_{i=1}^n c_i$.

- (a) Give an algorithm that schedules the tasks so as to minimize the average completion time. Each task must run non-preemptively, that is, once task a_i starts, it must run continuously for p_i units of time. Prove that your algorithm minimizes the average completion time, and state the running time of your algorithm.

Solution: An activity's completion time, c_i , is dependent on the previously scheduled activities. If a_i has a short processing time p_i and it gets scheduled after activities with longer processing time, c_i will be larger than it could have been if it were scheduled before. Thus, a greedy algorithm for scheduling activities $a_1, a_2, \dots, a_n$ requires to first sort the activities in increasing order based on their processing time p_i and schedule the activities in order starting with activity with the shortest processing time.

Sorting the activities can be done in $O(n \lg n)$ while scheduling the activities is done in $O(n)$ since it would only require to transverse the array of sorted activities. The total running time is thus $O(n \lg n)$.

Proof:

To prove that scheduling the activities in ascending order based on their processing time p_i , yields a minimized average completion time, it is sufficient to prove that the average completion time is at its minimum when an activity of shortest processing time gets scheduled before an activity with longer processing time. Let S, a_i, p_i and c_i be as defined as in the problem description. Let us assume that we have scheduled all activities in such a way that the average completion time is minimal with the exception of the last two activities a_i and a_j with $i \leq j$. The the average completion time is given by:

$$\frac{1}{n} \sum_{k=1}^n c_k = \frac{1}{n} \cdot c_{m_1} + \dots + \frac{1}{n} \cdot c_{m_{n-2}} + \frac{1}{n} \cdot c_i + \frac{1}{n} \cdot c_j$$

where $\{m_1, m_2, \dots, m_n\} = \{1, 2, \dots, n\} - \{i, j\}$

If a_i gets scheduled first and a_j second, we have that

$$c_i = p_i + \sum_{k=1}^{n-2} p_{m_k}$$

$$c_j = p_i + p_j + \sum_{k=1}^{n-2} p_{m_k}$$

If a_j gets scheduled first and a_i second, we have that

$$c'_j = p_j + \sum_{k=1}^{n-2} p_{m_k}$$

$$c'_i = p_j + p_i + \sum_{k=1}^{n-2} p_{m_k}$$

$$\begin{array}{ccc}
 \text{execution } a_i, a_j & \text{vs} & \text{execution } a_j, a_i \\
 c_i + c_j & & c'_j + c'_i \\
 \underbrace{P_i + \sum_{k=1}^{n-2} p_{m_k}}_{c_i} + \underbrace{p_i + p_j + \sum_{k=1}^{n-2} p_{m_k}}_{c'_j} & \leq & \underbrace{P_j + \sum_{k=1}^{n-2} p_{m_k}}_{c_j} + \underbrace{p_j + p_i + \sum_{k=1}^{n-2} p_{m_k}}_{c'_i} \\
 c_{m_1} + \dots + c_{m_{n-2}} + c_i + c_j & \leq & c_{m_1} + \dots + c_{m_{n-2}} + c'_i + c'_j \\
 \frac{1}{n}[c_{m_1} + \dots + c_{m_{n-2}} + c_i + c_j] & \leq & \frac{1}{n}[c_{m_1} + \dots + c_{m_{n-2}} + c'_i + c'_j] \\
 (\frac{1}{n} \sum_{k=1}^{n-2} c_{m_k}) + \frac{1}{n}(c_i + c_j) & \leq & (\frac{1}{n} \sum_{k=1}^{n-2} c_{m_k}) + \frac{1}{n}(c'_j + c'_i)
 \end{array}$$

Thus, scheduling a_i before a_j yields a smaller average completion time. Since a_j must be scheduled last, we are left with the subproblem where we have the set of activities, $S' = \{a_1, a_2, \dots, a_n\} - \{a_j\}$ where for all $a_i \in S'$, $p_i \leq p_j$. By the same argument used in scheduling a_j after a_i , the activity scheduled right before a_j must have a shorter processing time. That is, if a_k gets scheduled before a_j , then $p_k \leq p_j$. We repeat this process until there are no activities left. Thus, the activities must necessarily be scheduled in ascending order based on their processing time in order to minimize the processing time. $\square$

- (b) Suppose now that the tasks are not all available at once. That is, each task cannot start until its release time r_i . Suppose also that we allow preemption, so that a task can be suspended and restarted at a later time. Give an algorithm that schedules the tasks so as to minimize the average completion time in this new scenario. Prove that your algorithm minimizes the average completion time, and state the running time of your algorithm.

Solution: As was proven in the previous subproblem, the average completion time is minimized when the activities are scheduled in ascending order. So, given that preemption is allowed, when an activity arrives, it must be inserted into its proper location within the sorted list of activities while scheduling continues to be done in ascending order of activity processing time. The initial sort will run in $O(n \lg n)$. The worst case of insertion into a sorted array is $O(n)$ for each new activity arrival (runs $O(n * n) = O(n^2)$) and the best case is $O(1)$ for each new activity arrival (runs $O(n)$). Thus, the running time of this algorithm is in the worst case $O(n \lg n) + O(n^2) = O(n^2)$ and in the best case $O(n \lg n) + O(n) = O(n \lg n)$

References

- [1] Cormen, Thomas. H., Charles E. Leiserson, Ronald L. Rivest, Clifford Stein. *Introduction to Algorithms, Third Edition*. MIT Press, Cambridge, MA, 2009.
- [2] <http://www.cse.unl.edu/~goddard/Courses/CSCE310J/Lectures/Lecture8-DynamicProgramming.pdf>